

Once we have space-based gravitational wave detectors, we will know eccentricities of those binary black hole systems, and then know how they are formed

Space-based Gravitational Wave Observatories Will Be Able to Use Eccentricity to Unveil Stellar-mass Binary Black Hole Formation

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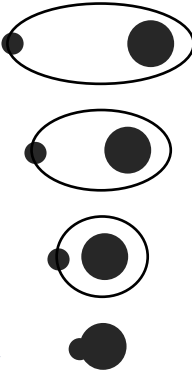
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TL; DR:

- How those stellar-mass binary black holes (sBBHs) are formed? Orbital eccentricity is the key
- But it is not enough if rely on ground detectors only
- Turn to space detectors? Still have their own challenge on this
- Embrace both sides! We did a kind of multiband search called “archival search”, then the detection could cost less
- Having said this, it still incurs additional computational cost when bring in the eccentricity, which should let folks be aware of
- Anyway, we first implemented a real archival search process and also estimated how much burden was added

Circularization: Binary gets closer and orbit gets rounder



More circular, less eccentric, $e=0$ for circular orbit

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I. From the very first beginning to what we gonna do

Stellar-mass black holes (sBBHs) detected before 2015 were mainly observed through X-ray binaries [1, 2] with measured masses $\sim 20 M_{\odot}$ for the first gravitational wave event GW150914 [3]. The detection of sBBHs and Virgo [4] have revealed the existence of sBBHs with masses $36_{-4}^{+4} M_{\odot}$ and $29_{-4}^{+4} M_{\odot}$ [4]. The observed masses posed a significant challenge to our understanding of the formation mechanism of sBBHs [5]. To date, nearly 100 sBBH mergers have been reported, many of them as heavy as GW150914 [6, 7]. With the accumulation of GW observations, numerous models have been proposed to explain the formation of these sBBHs [8]. The eccentricity of a sBBH system can be the key, but the systems we've seen so far are all (almost) circular. The eccentricity can be the key, but the systems we've seen so far are all (almost) circular. Why? The closer black holes get, the more energy they lose, the more circular their orbits become. Almost no eccentricity retains by the merger time. That's the limitation of ground detectors.

before entering the ground-based frequency band [16]. Therefore, it is challenging for ground-based detectors to distinguish and identify the formation channels of sBBHs [17].

So how can we see the pre-merger signals?

Space detectors: Here I am to help!

sBBHs are within the sensitive range of space detectors during the inspiral phase, before they are fully circularized.

See Fig 1 ↓! Astronomers have done various models, such as:

- sBBHs are isolated, no one bothers them, then the orbits will tend to be circular;
- If they're in a busy place like a globular cluster, the eccentricity is quite a bit bigger;
- But if they get thrown out of the cluster halfway through merger, it's not much different from isolated evolution;
- It's even more crowded if you consider active galactic nuclei or something, then the eccentricity will be very close to 1.

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Our work proves:

Space detectors can distinguish smaller e

The line of LIGO is almost on the axis, so many models to the left waiting to be distinguished

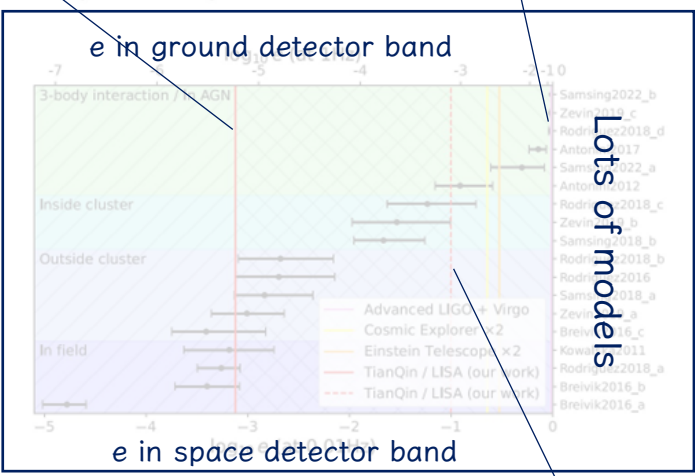
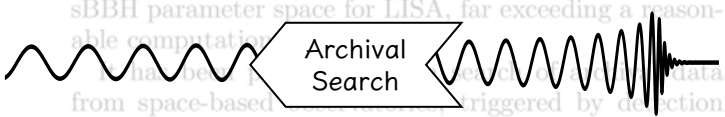


FIG. 1: Predicted eccentricity distribution from different evolution models. The horizontal axis represents the median value of eccentricity, and the vertical axis represents the maximum detectable eccentricity. The vertical solid line represents the maximum detectable eccentricity of the space detectors.

Our work: Because of resource constraints we go this big for now, but that doesn't mean to be the upper limit of the space detectors

Considering eccentricity for the sBBHs can bring additional benefits. The inclusion of eccentricity can break degeneracies and improve the accuracy of parameter estimation [36, 37], and make future tests of general relativity more reliable [38, 39].

It's like recognizing a song by listening to it, first you need to have a template bank that cover a wide range of songs. Ground detector signals lasts for a few seconds, 100,000 is enough, but space detector signals can be several years, then we need 10^{30} , which is really terrible!



Then someone came up with an idea: why not look at the merger signals given from the ground, and then go to the archival data of space detectors to see if you can find them, i.e., archival search.

This is very targeted, most of the information is already in hand, we just need a small template bank for the space detectors.

first time, we implement a matched-filtering bank generation incorporating eccentricity. Nice idea, but no one really implemented this yet, let alone take the eccentricity into account.

Now it is our turn ↓

II. II. Technical details

To detect GWs by matched filtering, we use EccentricFD [26, 49], a nonspinning inspiral-only frequency domain waveform generator with eccentricity at the initial frequency. To build up a template bank with eccentricity, we need a waveform, we choose one called EccentricFD

EccentricFD is expanded to $\mathcal{O}(e^8)$ and then further expanded in e_i up to $\mathcal{O}(e_i^8)$. The parameter set follows $\lambda^\mu = (M, \eta, D_L, t_c, \phi_c, \iota, \lambda, \beta, \psi, e_i)$, where $M \equiv (m_1 m_2)^{3/5} (m_1 + m_2)^{-1/5}$ and $\eta \equiv (m_1 m_2) (m_1 + m_2)^{-2}$ are the chirp mass and symmetric mass ratio, D_L is the luminosity distance, t_c and ϕ_c are the coalescence time and phase, ι is the inclination angle, (λ, β) are ecliptic coordinates, and (ψ, e_i) are the polarization and eccentricity parameters.

For space detectors we consider TianQin (天琴) and LISA. They are both planned to work in 2030s.

So the next generation ground detectors like Cosmic Explorer (CE) and Einstein Telescope (ET) are really the detectors for archival searches

$$f_1 = (5/256)^{3/8} \pi^{-1} \mathcal{M}^{-5/8} T^{-3/8}$$

The size of the parameter space that would need to be searched in an archival search depends on the parameter space. But CE and ET haven't really started working yet either, so we need to find a way to estimate their detection capability: A tool called the Fisher Information Matrix.

Here we consider a ground-based detector network including ET and two CEs, with their sites randomly cho-

sen. Since GW emission will cause a binary orbit to circularize over time [16], we assume that events are noncircular. After calculating we are glad to find it was similar to the conclusions of ET or CE, especially given the large SNR that events visible to LISA and TianQin will have. We therefore use the noncentric IMRPhenomHM [51] waveform to estimate the precision

Ground detectors can measure most of the parameter more accurately more than space detectors, but not these two:

One is the previously mentioned **eccentricity**; the other is the dominant parameter **chirp mass M** .

So we will fix all the other parameters and generate template banks on these two parameters.

We construct a template bank using `sbank` [53–55], a Python package. In reality, it's impossible for the data to be identical to the template, so how do we assess whether they are matched or not?

$$FF(\lambda^\mu) \equiv \max_{\lambda^{\mu'}} \frac{(h(\lambda^\mu)|h(\lambda^{\mu'}))}{\sqrt{(h(\lambda^\mu)|h(\lambda^\mu))(h(\lambda^{\mu'})|h(\lambda^{\mu'}))}}. \quad (1)$$

Here $\lambda^{\mu'}$ denotes the parameter set for a template in the bank, and λ^μ is the parameter set for the test waveform. Good question, that's what the fitting factor FF is for. We usually take 0.97 as the threshold, anything lower than that means they doesn't match, and your template bank fails to find that signal.

At the Doppler frequency modulation from the movement at Earth's orbit can be ignored. However, the long observation time and the orbital motion of space-based observatories make the response time dependent, and one must consider these time-dependent response terms during bank generation. Additionally, unlike ground-based detectors that have fixed arm lengths during operation, the relative spacecraft motion results in unequal arm lengths. The method of time delay interferometry (TDI) has been proposed for canceling out the laser phase noise from different arms. It constructs particular combina-

tions to make virtual equal arm interferometers. This is further complicated when considering eccentric waveforms. But don't hurry, there are still several things to keep in mind, space detectors have to take into account the antenna response, harmonics should also be considered because of the eccentricity, and It's a lot more trouble than one might expect!

Since different eccentric harmonics have different correspondences with the Fourier frequency, we should provide a frequency cutoff during the calculation to avoid the waveform generation exceeding the valid range for a specific GW detector: $\tilde{h}_{\text{det}} = \sum_j \tilde{h}_j \times \Theta(j \cdot f_{\text{high}} - 2f) \Theta(2f - j \cdot f_{\text{low}})$, where $\Theta(x)$ is the Heaviside step function and j denotes the j th eccentric harmonic [26]. For TianQin or LISA, we have $f_{\text{low}} = \max[10^{-4} \text{Hz}, f_0]$, $f_{\text{high}} = \min[f_{\text{ISCO}}, 1 \text{Hz}]$, where $f_{\text{ISCO}} = (6^{3/2} \pi (m_1 + m_2))^{-1}$ is the quadrupolar frequency at innermost-stable circular orbit (ISCO).

What's going on inside? Check this GitHub repo: [HumphreyWang/sbank_simplified](https://github.com/HumphreyWang/sbank_simplified)



FIG. 2: The fitting factor between a noncentric template bank and a signal with different eccentricities. The blue(green) lines denote the banks of TianQin(LISA), the solid(dashed) lines correspond to the banks of a GW150914-like(GW190521-like) scenario.

III. Template bank! Here we go!

Wait a minute! With all the difficulties mentioned above, why don't we just FORGET about the eccentricity?

Then we have to see how small an e can we ignore, see Fig 2 ↑: Use a non-eccentric template bank to match signals with a variety of eccentricity. Just a small e it won't match! So we can't think about being lazy >_<

tial eccentricity at $\sim 0.01\text{Hz}$. We also investigate the bias between the injected and recovered chirp mass when neglecting eccentricity, which increases from $\lesssim 10^{-6}M_{\odot}$ at $e_1 = 0$ to $\gtrsim 10^{-3}M_{\odot}$ at $e_1 = 0.1$. Such systematic bias could be even larger in the full parameter space. It is therefore necessary for searches to take eccentricity into account.

TABLE I: Template bank sizes for GW150914- and GW190521-like events with different parameter spaces.

	Parameter space	GW150914-like	GW190521-like
TianQin	$e_1 \in [0, 0.1]$	117202	49943
	$\mathcal{M} \in \mathcal{M}_0 \pm 10\sigma_{\mathcal{M}}$	3034	4250
LISA	$e_1 \in [0, 0.1]$	100403	44867
	$\mathcal{M} \in \mathcal{M}_0 \pm 10\sigma_{\mathcal{M}}$	2070	3088

In Table I we show the size of the stochastic template bank for both eccentricity and chirp mass. We first generate template banks with only eccentricity and chirp mass separately, see Table 1 \uparrow . We need 100,000 templates for eccentricity only?! Also the code was running on the server for a loooooooooooooong time. Come on, we only consider eccentricity up to 0.1 here!

Leaving aside this for a moment, this eccentricity distribution is interesting, it matches the estimate from previous study, see Fig 3 \downarrow : the larger the eccentricity, the larger the number of templates needed in a same range

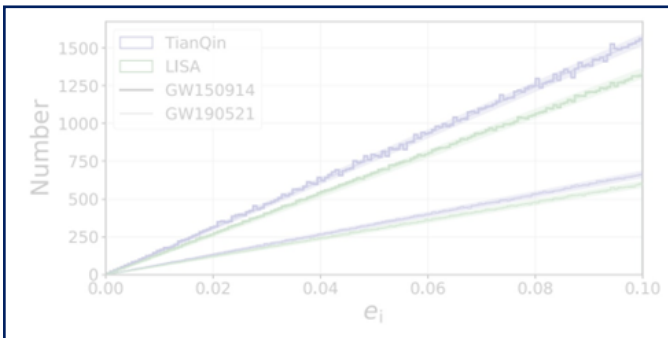


FIG. 3: The distribution of the eccentricity in the archival search template bank. The shaded regions represent the 1σ Poisson fluctuation.

In that case, we can estimate the size of the template bank when considering both parameters: Hundreds of millions of templates. Templates for space detector are already complex and slow to calculate, that'll take ages to calculate!

eccentricity range increases, the full 2D archival search banks are expected to have $N_T \sim \mathcal{O}(10^8)$ templates, if we consider the maximal valid range for EccentricFD, i.e. $e_1 \in [0, 0.4]$, N_T will be up to $\mathcal{O}(10^9)$.

To evaluate if we have overestimated the magnitude of 2D bank size due to any degeneracy between the eccentricity and the chirp mass [60–62], we generate a 2D bank by the direct multiplication of bank sizes that are calculated separately in their parameter spaces. Such results do not change our magnitude estimation of the full 2D archival search bank size. This indicates the challenge of computational cost: an example 2D bank with $e_1 \in [0, 0.001]$ includes 13372 templates, and would need $\sim 80\text{hr}$ for one core (and 18 GB of memory to cache waveforms) to generate. By slicing the full parameter space along eccentricity and generating the 2D bank in parallel, a bank with $N_T \sim \mathcal{O}(10^8)$ needs $\sim 8 \times 10^5$ core hours (and $\sim 10^5\text{GB}$ of memory).

To evaluate the performance of our template banks, we perform a validity and redundancy test. Wait! Are these numbers reliable? What if things aren't that bad and we're overestimating? See Fig 4 \downarrow : Let's do a validity and redundancy test. In Fig. 4 we present the histogram of the fitting factor for the 10,000 injected waveforms. The red vertical line represents the threshold $M = 0.97$, and we find that for almost all templates, the fitting factor has a FF larger than 0.97, only 0.44% of them fall lower than 0.97.

So, our conclusions still hold true. To evaluate the performance of the generated bank, we calculate the match between every template in the template bank. An ideal bank will have no redundancy, meaning the matches between all pairs of templates should be smaller than the minimal match threshold. In Fig 4, following the validity test, for each template we present the histogram of the fitting factor, which is calculated on a bank that excludes the template itself. We find that only 6.22% of all templates are redundant. This brings marginal extra computational cost.

IV. From what we have done to painting a rosy picture for the future :)

Numerous studies pointed out that the eccentricity of sBBHs will play a significant role in unveiling their origin. We've really got archival search working, added eccentricity on top of that, and estimated how much the additional burden is.

We generate one-dimensional template banks for either initial eccentricity or for chirp mass. The upper limit of

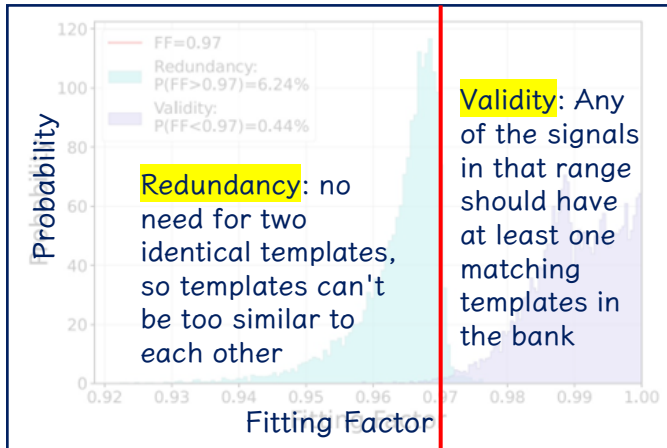


FIG. 4: Validity and redundancy test of the example 2D template bank. The histogram in purple (cyan) shows the result of the validity test (redundancy test). The vertical red line corresponds to the match criteria $M = 0.97$.

initial eccentricity at a system five years before merger is 0.1. The range of chirp masses is 10^{-5} to 10^{-2} solar masses. The one-dimensional bank requires 10^8 templates, which is $\sim \mathcal{O}(10^5)$ larger compared to the zero eccentricity case, and will require $\sim \mathcal{O}(10^7)$ core hours ($\sim \mathcal{O}(10^5)$ GB of memory) for the pipeline to generate the bank. This calculation is verified by a small 2D bank, where waveforms will therefore be a challenge to ask, but it is not outside the scope of the expected computational facilities in the 2030s. It could be further improved with additional optimization of the relevant software techniques.

People seem to think that with so many parameters of sBBHs, one more (eccentricity) won't be too difficult. But we show that it is not true!

Our work demonstrates that this approach can indeed help to distinguish how these sBBH systems are formed

It should be noted that we use a nonspinning eccentric waveform model in the paper. It is already known that spin effects are largely negligible during the inspiral [63]. But one thing is, we used a waveform with eccentricity but no spin, which is fine in this paper, but more accurate waveforms needed in the future!

One caveat in the study is the duty cycle. We consider ET dual CF for the ground-based detectors, whereas localization from realistic future networks might be worse than our calculation. Space-based observatories will also be limited by duty cycles [18, 68]. We leave the detailed calculation to future studies.

Folks, we still have a lot to do :)

V. ACV. Thank you all! INTS

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The Shoulders of Giants Here ↓

(With 3 pages more but let's end it here)

Thanks for Watching!

- Outreach videos about gravitational waves [中文]: 4-OGC: Catalog of gravitational waves from compact binary mergers. *arXiv e-prints*, art. 2016. doi: 10.1051/0004-6361/201527119.
- Gravitational Waves: Starting with 3+1 Questions
- Multimessenger Astronomy: Sharp Ears and Keen Eyes

View the original in: <https://humphreywang.github.io/>

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